



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/804,492	03/12/2001	Shoichi Takasuka	10873.687US01

CONFIRMATION NO. 1253

23552  
MERCHANT & GOULD  
P O BOX 2903  
MINNEAPOLIS, MN 55402-0903

## FORMALITIES LETTER



\*OC00000006091991\*

07/09/2001 SDIRETA1 00000056 09804492

01 FC:101 710.00 DP  
02 FC:103 130.00 DP  
03 FC:133 130.00 DP

Date Mailed: 05/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Conf. No. 535: 07/09/2001 SDIRETA1 0010184500  
DAR: 132725 Name/Number: 09804492  
FC: 103 \$36.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- The balance due by applicant is \$ 840.

07/10/2001 SDIRETA1 00000003 132725 09804492

01 FC:103 36.00 CH

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



UNITED STATES PATENT AND TRADEMARK OFFICE

852017  
#3

Applicant: Takasuka et al. Examiner: Unknown  
Serial No.: 09/804,492 Group Art Unit: Unknown  
Filed: March 12, 2001 Docket: 10873.687US01  
Notice of Allow. Date: N/A Batch No.: N/A  
Due Date: July 18, 2001  
Title: OPTICAL HEAD DEVICE AND OPTICAL RECORDING AND REPRODUCING APPARATUS

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on July 2, 2001.  
By: Jennifer Carlson  
Jennifer Carlson

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

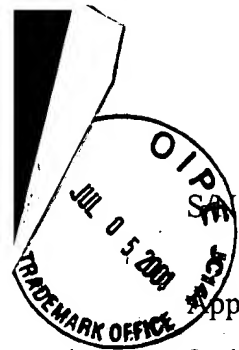
- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ Information Disclosure Statement, Form 1449, 1 Reference(s)
- ☒ Check(s) in the amount of \$746.00 for Filing fee, \$130.00 for Missing Parts fee and \$130.00 for filing an English translation of foreign specification
- ☒ Other: Communication re: submission of missing parts, copy of notice to file missing parts, Verified English translation of foreign specification, English translation of specification consisting of: 19 pages of Specification, 22 claims, 2 independent claims, 1 pages of abstract and 12 sheets of formal drawings
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: D.P.M.  
Name: Douglas P. Mueller  
Reg. No.: 30,300  
DPMueller/jlc





09/804492

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Takasuka et al. Examiner: Unknown  
Serial No.: 09/804492 Group Art Unit: Unknown  
Filed: 03/12/2001 Docket No.: 10873.687US01  
Title: OPTICAL HEAD DEVICE AND OPTICAL RECORDING AND  
REPRODUCING APPARATUS

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on July 2, 2001.

By:

Name: Jennifer Carlson

SUBMISSION OF MISSING PARTS

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In connection with the above-identified application, enclosed please find the originally signed Combined Declaration and Power of Attorney. Also enclosed is our check in the amount of \$130.00 to cover the Missing Parts completion fee, as well as \$746.00 for the filing fee which was deferred at filing. Also enclosed is our check in the amount of \$130.00 for the filing of the English translation of the foreign specification.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: July 2, 2001

By

Douglas P. Mueller  
Reg. No. 30,300

DPM/jlc